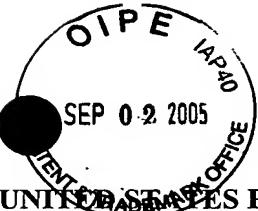


Docket No. 273185US0PCT



JC02 Rec'd PCT/PTO 02 SEP 2005 PCT

● MAIL STOP PCT AF3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tatsuya SAKAI, et al.

SERIAL NO: 10/537,484

GAU:

FILED: June 3, 2005

EXAMINER:

FOR: RUTHENIUM COMPOUND AND PROCESS FOR PRODUCING METALLIC RUTHENIUM FILM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Surinder Sachar*

Norman F. Oblon  
Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar  
Registration No. 34,423



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 273185US0PCT	SERIAL NO. 10/537,484	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Tatsuya SAKAI, et al.				
		FILING DATE June 3, 2005		GROUP		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
<b>FOREIGN PATENT DOCUMENTS</b>						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
	AL	2002-212112	07/31/02	JP(with English abstract)	YES	NO
	AM	11-35589	02/09/99	JP(with English abstract and equivalent of US 6,207,232)	YES	NO
	AN	2002-231656	08/16/02	JP(with English abstract and equivalent of US 2002/0102826)	YES	NO
	AO	2002-145892	05/22/02	JP(with English abstract and equivalent of US 2002/0064948)	YES	NO
	AP	0 717 451	06/19/96	EP	YES	NO
	AQ	11-340435	12/10/99	JP(with English abstract)	YES	NO
	AR	2002-161367	06/04/02	JP(with English abstract and equivalent of US 6,440,495)	YES	NO
	AS	2002-523634	07/30/02	JP(with English abstract and equivalent of US 6,063,705)	YES	NO
	AT	2002-69639	03/08/02	JP(with English abstract and equivalent of US 2002-0055001)	YES	NO
	AU	6-283438	10/07/94	JP(with English abstract)	YES	NO
	AV	2002-114795	04/16/02	JP(with English abstract and equivalent of US 6,420,582)	YES	NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>						
	AW					
	AX					
	AY					
	AZ				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

U.S. PCT Application Serial No.: 10/537,484  
Docket No.: 273185US0PCT

STATEMENT OF RELEVANCY

- 1) References AL-AP have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) Reference\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of this reference is being submitted herewith.
- 3) References AQ-AV are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.